

Faculty Senate Budget Priorities Committee Resolution (3) to *urge the UB Provost to require all Deans to document a review with their faculty and seek their faculty's input to the school's budget prior to the Dean presenting to the annual Provost's ARPP.*

(with Grammar edits after FSEC vote)

To: Professor Suzanne Laychock, Chair, Faculty Senate

From: Faculty Senate Budget Priorities Committee as endorsed on 01/29/2024 (vote: 5-0-0)

Date Proposed to FSEC: 03/12/2024 (vote 16-0-0)

First Reading to the Faculty Senate: 03/26/24 (No vote requested at 1st reading)

Second Reading to the Faculty Senate: (04/16/24) (vote 40-0-0)

Approved by the Faculty Senate: yes

Whereas NYS Governor Hochul has designated UB and Stony Brook University as SUNY Flagship University campuses, and as such these campuses need to be exemplary models of shared governance, and

Whereas the UB Faculty Senate Budget Priorities Committee (FSBPC) Charge is to consult, review, report and recommend to the senate and to advise the Senior Administration on matters concerning the development of the university budget and to recommend criteria for the allocation for university budgeted funds related to the initiation, development, and implementation of the educational program, and

Whereas the 2023-2024 FSBPC has been working closely with the UB Provost and the UB VP for Finance and Administration to better understand the process and provide real-time input/advice for UB's Annual Resource Planning Process (ARPP). Details included in the Appendix below.

Whereas, although the Provost's ARPP begins in December of the academic year, the decanal units begin their academic year planning much earlier in the academic year and rarely are there any non-leadership faculty involved in this pre-ARPP school's budget process;

Whereas, at a recent UB FSBPC the Provost suggested that the faculty should get more involved in their schools pre-ARPP's budget process;

Whereas, faculty's involvement into their school's budget process, with communication modalities to be decided by the Deans in consultation with their faculty, would provide greater transparency to the UB ARPP process

Therefore be it resolved, that in the spirit of shared governance, to better help UB achieve its Mission, Vision and Goals including advancement into the nation's Top 25 public research universities, and to stay competitive with other SUNY Flagship and University Centers, *the UB Faculty Senate Budget Priorities Committee, the UB Faculty Senate Executive Committee, and the UB Faculty Senate strongly urge the UB Provost to require all Deans to document a review with their faculty that seeks their faculty's input to the school's budget prior to the Dean presenting to the annual Provost's ARPP.*

Appendix: (ARPP Details as provided by Provost)

- The Budget Cycle (which starts annually December 1 and concludes in May 30, to be ready for the academic cycle which begins on July 1)
- Better understanding ARPP investment priorities and its alignment with UB's Mission, Vision and Goals (M, V & G), and
- UB works on a 5-year Multi Year Financial Plan (MYBP)
- ARPP and MYBP Guiding Principles are:
 - Strategic alignment with M, V & G
 - Allows for predictable planning.
 - Provides flexibility for changes in the financial environment
 - Integrates the various needs and priorities of a heterogeneous organization like UB
 - Fiduciary Financial Stewardship to all stake holders
- UB ARPP aligns NYS priorities and opportunities for:
 - operations
 - capital projects, (new, renovations, deferred maintenance, classrooms)
 - research activities (direct and indirect)
 - student success (housing, food insecurity, mental health, internships, disability accommodations, new degree programs, credentialling non-degree programs)
 - "Flagship Campus" eligible requests in SUNY Budget, and
- Faculty Excellence including:
 - Enhancing diversity
 - Appropriate PhD program funding to create competitive programs
 - Increasing Indirect Cost Recovery (ICR) for expenses to support and grow extramural faculty funding
- Growing student enrollment (undergraduate, master's and PhD)
- Decanal units present their operations ARPP proposals to Provost and VP F and A (significantly based on enrollment)
- Approved decanal budgets are awarded based on competing priorities and available resources
- Some discretionary funds are available for specific projects (deans compete for these funds); these funds are a small fraction (<5%) of total state operations budget

- The following units participate in the ARPP:
 - Decanal Units Included:
 - College of Arts and Sciences
 - Graduate School of Education
 - Jacobs School of Medicine and Biomedical Sciences
 - School of Architecture and Planning
 - School of Dental Medicine
 - School of Engineering and Applied Sciences
 - School of Law
 - School of Management
 - School of Nursing
 - School of Pharmacy and Pharmaceutical Sciences
 - School of Public Health and Health Professions
 - School of Social Work
 - Other units that present budgets:
 - **President.** Includes the Office of the President; Equity, Internal Audit, Diversity & Inclusion; Government & Community Relations; and University Events.
 - **Provost.** Includes the Office of the Provost; Academic Planning; Enrollment Management; Faculty Affairs; Inclusive Excellence; Institutional Analysis; International Education; Educational Affairs; Educational Opportunity Center; University Libraries; and University Shared Governance.
 - Vice President Units Included:
 - **Chief Information Officer.** Includes Enterprise Application Services; Information Technology (IT) Customer Services; Network & Communication Services; and other IT support.
 - **Division of Athletics.** Includes UB's 16 Division I sports; various administrative and student athlete support areas; and Recreation and Intramurals.
 - **Finance and Administration.** Includes the Office of Finance & Administration; Business Services; Emergency Management; Human Resources; Parking & Transportation Services; Resource Planning; Sustainability; University Police; and University Facilities.
 - **Health Sciences (Budget Meeting included with JSMBS).** Includes VP for Health Sciences and office of Interprofessional Education.
 - **Research & Economic Development.** Includes Sponsored Research Administration; Communities of Excellence; Research Advancement; Economic Development; Animal Facilities; Research Compliance; and several centers and institutes.

- **Student Life.** Includes Student Health & Wellness; Counseling Services; Dean of Students; and Campus Living (Student Housing).
- **University Advancement.** Includes advancement teams for all academic units; Alumni Engagement; Philanthropy.
- **University Communications.** Includes University Communications; Trademarks and Licensing; and university branding initiatives.

File: UB FS BPC Resolution 3 to urge the UB Provost to require all Deans to document a review with the school's faculty and seek their input for the school's budget prior to the Dean presenting to the Provost's ARPP v Final to FS 03252024



June 24, 2024

MEMORANDUM

To: Provost and Executive Vice President A. Scott Weber
Vice Presidents
Vice Provosts
Deans
Department Chairs
Chair, Faculty Senate
Chair, Professional Staff Senate
UBNow
The Spectrum

From: Satish K. Tripathi 
President

RE: UB Faculty Senate Resolution regarding Faculty Input to the ARPP Process

Dear Colleagues:

After careful review and with the recommendation of Provost A. Scott Weber and the UB Faculty Senate, I am approving and promulgating the **UB Faculty Senate Resolution** asking the Deans to seek their faculty's input to the school's budget prior to the Dean presenting to the annual Provost's ARPP.

This resolution encourages deans to engage their faculties regarding their school's budget and opportunities for university investment. By and large, the deans do engage their faculties in the budget discussions and work together in developing requests for consideration through the ARPP. The implementation of this resolution will help to routinize this practice.

Enclosed is the new policy approved by the Faculty Senate at its April 16, 2024 meeting.

My thanks to all who were involved in the development of this policy. Please share these documents with faculty and staff in your area as appropriate.

Enclosure