

Exception Request Form: Server Security and Hardening Standards

Directions:

1. *Employee:* Working with your Node Director/System Administrator, complete and submit this form to your Dean, Vice President, or designee.
2. *Dean, Vice President, or Designee:* Indicate approval in section D. Submit form to the UBIT Information Security Officer, 201 Computing Center (North Campus).
3. *Information Security Officer:* Approve/deny exception request. Communicate approval/denial to Node Director/System Administrator and employee

Exceptions are valid for one calendar year from date of approval.

Section A: Employee Information	
Employee Name	
Employee Position/Title/Role	
Employee Signature	
School/Unit/Department	
Date	
Section B: Exceptions Requested	
<i>By checking the box(es) below, you are requesting an exception to compliance with the standard(s). The form can be for multiple exception requests.</i>	
<input type="checkbox"/>	2.0 - Server Provisioning
<input type="checkbox"/>	3.0 - Server Configuration
<input type="checkbox"/>	3.1 - Network Hardening and Access Controls
<input type="checkbox"/>	3.2 - Network-based System Administration
<input type="checkbox"/>	3.3 - Operating System and Software Stack Hardening
<input type="checkbox"/>	3.4 - System Services and Software
<input type="checkbox"/>	3.5 - Patching
<input type="checkbox"/>	3.6 - Acceptable Encryption Standards
<input type="checkbox"/>	3.7 - Encryption Key Management
<input type="checkbox"/>	3.8 - Backups and Data Recovery
<input type="checkbox"/>	4.0 - Server Security Monitoring
<input type="checkbox"/>	4.1 - Malware Protection
<input type="checkbox"/>	4.2 - Log Monitoring
<input type="checkbox"/>	4.3 - Vulnerability Scanning
<input type="checkbox"/>	5.0 - Access Control
<input type="checkbox"/>	5.1 - Administrative and User Accounts
<input type="checkbox"/>	5.2 - External or Vendor Accounts
<input type="checkbox"/>	6.0 - De-provisioning
<input type="checkbox"/>	7.1.1- Appliances/Devices: The network attached peripheral is not in publicly accessible address space, for example the "NAP" or "AV" VLANs.
<input type="checkbox"/>	7.1.2- Appliances/Devices: The network attached peripheral software is updated on a regular basis.

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Section C: Rational and/or Compensating Controls

[Insert rational and/or compensating controls here. You may also attach the rational to this form]

Section D: Approval

Dean/Vice President/Designee Name (please print)

Dean/Vice President/Designee Signature

Date

Information Security Officer

Date