

UB Small Business Innovation Award



The competitive Small Business Innovation Research (SBIR) and Small Business Technology Transfer (STTR) programs encourage domestic small businesses to engage in Federal research and development that has the potential for commercialization. The University at Buffalo offers access to world class scientists, engineers and facilities that can enhance small business R&D programs. The UB **Small Business Innovation Award** (UB-SBIA) provides matching funds to qualifying companies awarded SBIR or STTR Phase I awards.

By offering the UB-SBIA, the University at Buffalo supports small business technological innovations that will benefit the public good and provides experiential learning opportunities for faculty, staff and students solving real world problems. Qualifying companies awarded an SBIR or STTR Phase I award that subcontract at least 30% of the award to UB may receive up to a 20% match for internal direct costs expenditures. For example, a \$10,000 match if...

Phase I Award	\$150,000
Sub Award to UB (e.g. 33% of Phase I SBIR)	\$49,500
UB-SBIA	\$10,000
TOTAL Project Budget	\$59,500

Program Rules

1. Companies are eligible for the UB-SBIA whether or not UB background intellectual property (IP) is being developed
2. Companies developing UB background IP must have an executed license with UB at the time the award is made
3. Companies are eligible for the UB SWIFT sponsored research agreement if no UB background IP is involved (*see UB SWIFT web page for details*)
4. The UB-SBIA match will be transferred to the principal investigator's project account upon execution of the sub award agreement and first transfer of funds from the sponsor
5. The Phase I sub award budget must include the full UB Facilities & Administrative rate as published
6. If a participating faculty member (or UB Employee) has a financial interest or management position in the sponsor's company, an approved conflict-of-interest management plan must be in place prior to the award
7. UB reserves the right to deny a UB-SBIA match if a company or a company principal: has received one or more UB-SBIA match awards and has failed to progress to Phase II or a commercialization event; has participated in a Phase II award and did not subcontract to UB; or if the project is not consistent with UB's mission

For additional questions pertaining to UBSBIA contact Jennifer Mandina, JD, MS, Associate Manager, Contracts at 716-645-8952 or mandina@buffalo.edu