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| **Version** | **Date** | **Revisions** |
| R00 | 3/25/14 | Original Issue |
| R01 | 1/21/19 | Revised Common Rule |
| R01 | 12/18/2020 | Annual review, update logo |
| R01 | 1/14/22 | Annual review, no changes |
| R01 | 11/29/22 | Annual review, no changes |
| R01 | 11/30/23 | Annual review, no changes |

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| The purpose of this checklist is to provide support for IRB staff conducting Pre-review. This checklist is to be completed by the IRB staff, signed, dated, and retained. |
| **IRB Number:**  |       |
| **Study Title:** |       |
| **Short Title:** |       |
| **Investigator:** |       |
|  |
| **Regulatory Oversight** *(Check all that apply)* |
|[ ]  **Common Rule Requirements prior to January 19, 2018** |[ ]  **Common Rule Requirements as of January 19, 2018** |
|[ ]  DHHS |[ ]  DOD |[ ]  DOJ |[ ]  EPA |[ ]  ICH-GCP |
|[ ]  FDA |[ ]  DOE |[ ]  ED |[ ]  EU GDPR |[ ]  None |
|[ ]  OCR |[ ]  NSF |[ ]  Tribal Law |[ ]  Other Federal Agency |[ ]   |
|  |
| **Restrictions (**Check if applicable) |
|[ ]  Principal investigator is Restricted |
|  |
| **Missing Materials** |
|        |
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| **Special Determ**in**ations (**Check all that apply) |
|[ ]  Children |[ ]  Not significant risk device (FDA) |[ ]  Waiver/alteration of the consent process  |
|[ ]  Wards |[ ]  Non-viable neonates |[ ]  Waiver of HIPAA authorization |
|[ ]  Pregnant women |[ ]  Neonates of uncertain viability |[ ]  Waiver of consent documentation |
|[ ]  Prisoners |[ ]  Individuals with impaired decision-making capacity |[ ]  Waiver of consent for emergency research |
|[ ]  Students/Employees |  |  |[ ]  Broad Consent |
|  |
| **Protocol Tracking (**Check all that apply) |
|[ ]  Social/Behavioral/Education |[ ]  Biomedical/Clinical |[ ]  Clinical Trial |
|[ ]  Single-Site Study |[ ]  Collaborative Study (Lead Site) |[ ]  Multi-Site Study (Lead Site) |
|[ ]  Deception |[ ]  Collaborative Study (Participating Site) |[ ]  Multi-Site Study (Participating Site) |
|[ ]  Certificate of Confidentiality |[ ]  Other |  |  |
|  |
| **Notes** |
|       |
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| **STUDY CLOSURE** |
|[ ]  Research can be closed. |
|  |
| Sign |  |  |       |