



**APPLICATION FOR REAPPOINTMENT**  
**RESEARCH ASSOCIATE**

Enclosed is the application for reappointment to the position of Research Associate.

**You must respond to all questions.** Your application will be considered incomplete if you fail to respond to any questions. If a question does not apply to you, please respond by stating "not applicable".

The following information/materials must be included with the completed application. We recommend you use this as a checklist to assure compliance.

- Completed application.
- Signed** General Indemnification Form ("Certifications, Authorizations and Waivers of Liability").
- Completed Scope of Project Form, **signed by PI or Supervisor** (attach IRB approval).
- Completed and **signed** Criminal Record History Consent Form.
- Current completed and **signed** Research Associate Proof of Immunizations and Physical Exam with PPD screening ("Tuberculosis Annual Risk Assessment Screening Tool") and flu shot completed within the previous 12 months. **(If UB Med Student Research Associate Attestation Letter is signed, this form is not required.)**
- If applicable, signed*** UB Med Student Research Associate Attestation Letter. **(The \$50.00 fee will be waived if Attestation Letter signed and returned.)**
- Check in amount of \$50.00 made payable to "Kaleida Health Office of Research and Sponsored Projects".**
- Signed** Attestation page from "Kaleida Health Research Associate Orientation Manual."

**PLEASE NOTE: Your scope of project must fall within the scope of privileges held by your Supervising/collaborating physician. Any questions should be directed to the Office of Research and Sponsored Projects (859-8933).**

**PLEASE RETURN ALL DOCUMENTS WITHIN THREE WEEKS OF RECEIPT TO:**

Kaleida Health, Office of Research & Sponsored Projects  
726 Exchange Street, Suite 270, Buffalo, NY 14210, Attn: Kelly Gleason



**APPLICATION FOR REAPPOINTMENT RESEARCH ASSOCIATES**

IDENTIFYING INFORMATION			
<b>Name:</b>		<b>Service:</b>	
<b>Date of Birth:</b>	<b>US Citizen?</b> <input type="checkbox"/> Yes <input type="checkbox"/> No (if no, see next question)	<b>Valid VISA</b> <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>Address:</b>	<b>City:</b>	<b>State:</b>	<b>Zip:</b>
<b>Email:</b>			
<i>(Email address must be secured affiliate email address, if not available a KaleidaHealth.org email address may be requested)</i>			
<b>Phone:</b>		<b>Cell:</b>	
<b>Affiliation:</b> <input type="checkbox"/> University of Buffalo		<input type="checkbox"/> Other Educational Institution: <input type="checkbox"/> Other: _____	

**DISCLOSURES**

**1. Have any of the following been denied, revoked, suspended, sanctioned, reduced, limited, monitored, placed on probation, not renewed, or voluntarily relinquished to avoid possible disciplinary action in any jurisdiction?**

- a. medical, dental or other professional license  Yes  No
- b. controlled substance registration (DEA)  Yes  No
- c. academic appointment  Yes  No
- d. membership in or affiliation with any health care facility staff  Yes  No
- e. clinical privileges at any health care facility  Yes  No
- f. prerogatives or rights at any health care facility  Yes  No
- g. professional society membership or fellowship  Yes  No
- h. board certification  Yes  No
- i. professional liability insurance  Yes  No
- j. participation in any private, Federal or state insurance program (eg. Medicare, Medicaid)  Yes  No

**2. To the best of your knowledge:**

- a. Have you ever been charged with professional misconduct or received an administrative warning by any state agency or professional association?  Yes  No
- b. Are you the subject of any current investigation by any state agency or professional body?  Yes  No
- c. Have any misdemeanor or felony charges been brought against you?  Yes  No
- d. Have there ever been any findings or have you ever been found to be in violation of Patient Rights?  Yes  No
- e. Have any judgments or settlements been rendered against you in a professional liability case?  Yes  No
- f. Have you received notice of malpractice actions which are pending?  Yes  No
- g. Do you have any physical or mental disorders which may interfere with the practice of your discipline/specialty including alcohol or drug dependence?  Yes  No

**3. If the answer is YES to any of the above questions, please explain below**

***I understand that it is my responsibility to advise Kaleida Health in writing immediately of any new, different, or additional information responsive to any of the above questions.***

**CERTIFICATIONS, AUTHORIZATIONS AND WAIVERS OF LIABILITY**

I fully understand that any misstatements in, or omissions from, this application or the supporting documentation submitted herewith, constitutes cause for denial of my request or cause for summary dismissal. All information submitted by me in connection with this application is true and complete to the best of my knowledge and belief and no pertinent information has been omitted.

In making this application, I acknowledge that I am familiar with the principles and standards of the Det Norske Veritas (DNV), the Guidelines for Good Clinical Practice, and Ethical Principles and Guidelines for the Protection of Human Subjects of Research contained in the Belmont Report and the Declaration of Helsinki. I agree to be bound by the principles thereof, and I further agree to abide by such Hospital(s) policies as may be from time to time amended and enacted.

I hereby signify my willingness to appear for a personal interview in regard to my application, authorize the Hospital(s) representatives to consult with administrators and members of other hospitals or institutions with which I may have been associated and with others, including past and present malpractice insurance carriers, who may have information bearing on my professional competence, character and ethical qualifications. I hereby further consent to the inspection by Kaleida Health and its representatives of all records and documents, including medical records from other hospitals that may be made material to an evaluation of my professional qualifications and competence to carry out the privileges requested as well as my moral and ethical qualifications for the position as Research Associate. I hereby release from liability Kaleida Health and its representatives for their acts performed in good faith and without malice in connection with evaluating my application and my credentials and qualifications. I hereby release from any liability, any and all individuals and organizations who provide information to Kaleida Health in good faith and without malice concerning my professional competence, ethics, character and other qualifications and I hereby consent to the release of such information.

I authorize Kaleida Health to conduct a criminal record background check for the purpose of determining my suitability for privileges as a Research Associate at Kaleida Health. I understand that if it is discovered that I have a criminal record, Kaleida Health may deny my application for Research Associate privileges.

I authorize Kaleida Health to share the information I provide in this application for Research Associate privileges to Erie County Medical Center Corporation in order to expedite its research associate application process, if applicable.

I understand and agree that I, as an applicant, have the burden of producing adequate information for proper evaluation of my professional competence, character, ethics, and other qualifications and for resolving any questions or doubts about such qualifications. I have been advised of, and hereby acknowledge, my obligation to advise Kaleida Health in writing immediately of any new, different or additional information responsive to any of the questions or items requested in or in connection with this application which, at any time it comes to my attention or is made known to me.

\_\_\_\_\_  
**DATE**

\_\_\_\_\_  
**SIGNATURE OF APPLICANT**

**SCOPE OF PROJECT**

*(PLEASE COMPLETE ONE PAGE FOR EACH RESEARCH PROJECT)*

**APPLICANT'S NAME:**

**SERVICE:**

**Principal Investigator (PI) or Supervising member of the Kaleida Health Medical Dental Staff:**

**PROJECT INFORMATION**

**Start Date:**

**Completion Date:**

*(You must notify Kaleida in writing at completion of the study)*

**Is Project IRB-Approved?**

Yes (Attach IRB Letter). **IRB Study #:**

Pending; submitted to [IRB name] on [Date]

Will submit to [IRB name] on [Date]

**Age of Patient Population:**  Pediatric (<18y)  Adult (18y – 74y)  Geriatric (>75y)  Other, please specify

**How will potential research subjects be identified?**

**Who will approach the subjects regarding participation?**

**Will the research study require Kaleida to disclose patients' protected health information to the researcher?**

Yes  No

**What form of authorization has been obtained for release of protected health information?**

*(If being used, attach sample of Signed Authorization from each patient or the approved copy of the IRB HIPAA Waiver of Authorization)*

**What specific research functions will the applicant be assisting with?**

List all Kaleida Health systems/applications required for research

<input type="checkbox"/> Cerner Millenium ( <i>Training is required</i> ) – Powerchart, Firstnet, Radnet, Surginet, Pathnet, PharmNet	<input type="checkbox"/> KaleidaScope User ID ONLY
<input type="checkbox"/> Corporate ( @KaleidaHealth.org) Email Address	<input type="checkbox"/> Other (Please Specify)
<input type="checkbox"/> Other (Please Specify)	<input type="checkbox"/> Other (Please Specify)

**RESEARCH SPONSOR CERTIFICATION**

I \_\_\_\_\_ (*print name of PI or Supervisor*) acknowledge that a Research Associate MAY NOT PROVIDE PATIENT CARE and I attest that this Research Associate will be supervised by a member of the Kaleida Health Medical Dental Staff. The Research Associate's tasks, including those involving patient contact and/or patient-related activities, are limited to those specifically defined and approved within this Scope of Project.

I personally attest to the applicant's competence with regard to the activities listed above and understand that following submission and review of this request, additional documentation may be required.

\_\_\_\_\_  
**DATE**

\_\_\_\_\_  
**Print Name of PI or Supervisor**

\_\_\_\_\_  
**Signature of PI or Supervisor**

# Kaleida Health Research Associate Applicant

## Criminal Record History

Have you ever been convicted of a felony? \_\_\_\_yes \_\_\_\_no

If yes, please explain: \_\_\_\_\_

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## Criminal Record Check Consent Form

I authorize Kaleida Health to conduct a criminal record background check for the purpose of determining my suitability for privileges as a Research Associate at Kaleida Health.

Name: Last/ First/ Middle \_\_\_\_\_

Maiden Name/Names Previously Used: \_\_\_\_\_

Current Address: \_\_\_\_\_

Birth date: \_\_\_\_\_

Sex:  M  F

Social Security Number: \_\_\_\_\_

I authorize Kaleida Health to utilize the above information for the purpose of obtaining a criminal background check. I understand that if it is discovered that I have a criminal record, Kaleida Health may deny my application for Research Associate privileges.

\_\_\_\_\_  
Applicant's Signature

\_\_\_\_\_  
Print name

\_\_\_\_\_  
Date



## Research Associate Proof of Immunizations and Physical Exam

**NOTE: If UB Med Student Research Associate Attestation Letter is signed, this form is not required.**

The New York State Department of Health **requires** the following to medically clear you to work at a hospital: **Physical, 2 step PPD, proof of immunization/immunity to Rubella, Rubeola, Mumps and Varicella.**

Last Name:		First Name:	
Sex:	<input type="checkbox"/> Male <input type="checkbox"/> Female	DOB:	Phone:
Address:			

Immunization History (Vaccines)		
<i>Attach Immunization Record</i>		
Vaccine	Date	
<b>Flu/Influenza</b> (1 dose annually)		
<b>Varicella Vaccine (chicken pox)</b> (or positive titer)	#1:	#2:
<b>MMR</b> (Measles, Mumps, Rubella)	#1:	#2:
<b>OR</b>		
<b>Measles</b> (or positive titer)	#1:	#2:
<b>Mumps</b> (or positive titer)	#1:	#2:
<b>Rubella</b> (or positive titer)	#1:	

**New Research Associate: Must have two (2) separate PPD/TB Skin Tests administered within the past 12 months. Complete the following section.**

**Research Associate Reappointment: No PPD/TB Skin Test required. Please complete attached Tuberculosis Annual Risk Assessment Screening Tool and return.**

(New Research Associate only)		
PPD #1 Date Placed:	Date Read:	Results in mm:
PPD #2 Date Placed:	Date Read:	Results in mm:
If known history of positive PPD, provide date of conversion and last chest x-ray:		
Positive PPD Date:	Results in mm:	
Date of X-Ray:	<input type="checkbox"/> Normal Chest X-Ray	<input type="checkbox"/> Abnormal Chest X-Ray
<input type="checkbox"/> Asymptomatic-denies all symptoms		
<input type="checkbox"/> Symptomatic-fatigue, Anorexia, Weight loss, Low grade fever, Productive cough <i>(circle any that pertain)</i>		

The above individual has been evaluated in the past 12 months. The results of the evaluation is of sufficient scope to ensure the above named person is free from health impairment which is of potential risk to the patient or which might interfere with the performance of his/her duties, including habituation or addiction to depressants, stimulants, narcotics, alcohol, other drugs or substances which may alter the individual behavior.

***The office that is completing this form will be responsible for maintaining updated medical records for the duration of participant's and/or faculty's interactions within Kaleida Health facilities and provide appropriate supporting documentation upon request.***

Healthcare Provider or Facility: \_\_\_\_\_ Phone: \_\_\_\_\_

Healthcare Provider or Facility Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Provider/Facility Stamp with Address and Telephone Number:

## KALEIDA HEALTH EMPLOYEE HEALTH DEPARTMENT Tuberculosis Annual Risk Assessment Screening Tool

<b>Name:</b>	<b>Date:</b>
<b>Date of Birth:</b>	<b>Department/Extension:</b>
<b>Site/Location:</b>	<b>Address/Zip Code:</b>

**Please answer the following questions:**

1. Are you aware of being exposed to anyone with active TB? (i.e. friends, family, co-workers, patient)	<input type="checkbox"/> YES	<input type="checkbox"/> NO
2. Have you ever had any previous TB exposure, disease, or diagnostic testing for active infection?	<input type="checkbox"/> YES	<input type="checkbox"/> NO
3. Have you ever received treatment for active TB or Latent TB infection? <i>If Yes, When/where/treatment received:</i>	<input type="checkbox"/> YES	<input type="checkbox"/> NO
_____		
4. Have you held temporary or permanent residence of $\geq 1$ month in a country with a high TB rate any country other than the United States, Canada, Australia, New Zealand, and those in Northern Europe or Western Europe since your last annual health assessment? <i>If yes, where/when/how long?</i>	<input type="checkbox"/> YES	<input type="checkbox"/> NO
_____		
5. Are you currently on medications that suppress you immune system? <i>If yes, please list:</i>	<input type="checkbox"/> YES	<input type="checkbox"/> NO
_____		
6. Do you have any of the following signs and symptoms of active Tuberculosis disease?		
Unplanned weight loss of more than 10 pounds?	<input type="checkbox"/> YES	<input type="checkbox"/> NO
Cough: more than 3 weeks?	<input type="checkbox"/> YES	<input type="checkbox"/> NO
Blood streaked sputum?	<input type="checkbox"/> YES	<input type="checkbox"/> NO
Night sweats?	<input type="checkbox"/> YES	<input type="checkbox"/> NO
Persistent low-grade fever?	<input type="checkbox"/> YES	<input type="checkbox"/> NO
Loss of appetite?	<input type="checkbox"/> YES	<input type="checkbox"/> NO
Employee Signature: _____	Date: _____	
Practitioner Signature: _____	Date: _____	
Print Name of Practitioner: _____		

**If an employee answers “yes” to any questions 1-5 and/or are exhibiting signs and symptoms of active Tuberculosis disease consult with an Employee Health Provider for next steps.**



Date: \_\_\_\_\_

Kaleida Health - Office of Research and Sponsored Projects  
Ashlee Lang, MPH  
Manager Clinical Studies  
Kaleida Health  
726 Exchange Street, Buffalo, NY 14210

The purpose of this letter is to confirm that \_\_\_\_\_ (Student name) is enrolled as a medical student at the State University of New York University at Buffalo Jacobs School of Medicine and Biomedical Sciences (“UB”) as of \_\_\_\_\_ (date) and meets Kaleida Health’s requirements for access to its electronic medical records containing protected health information (as that term is defined by the Health Insurance Portability and Accountability Act of 1996 (HIPAA), and its implementing regulations) for research purposes.

Any UB student who would like access to a Kaleida Health’s electronic medical record containing protected health information for research purposes must meet the following requirements:

- If a non-US Citizen, s/he has the necessary documentation to study in the United States.
- S/he has attended UB orientation within the last 12 months pertaining to the privacy of patient’s protected health information, including HIPAA requirements.
- Upon admission to UB, s/he has had a criminal background check run against him/her which covers that period of time prior to entry into medical school.
- As required for research involving patient interaction, s/he is covered by UB’s Professional and General Liability insurance coverage with limits of
  - At least one million dollars (\$1,000,000) per occurrence and
  - At least three million dollars (\$3,000,000) annual aggregate
- S/he complies with the New York State Department of Health, Bureau of Immunization requirements for vaccinations:
  - Receipt of 2 documented doses of MMR vaccine, given on or after the first birthday and separated by at least 28 days is proof of immunity to measles, mumps, and rubella.
  - Documentation of immunity to varicella:
    - Documentation of 2 doses of varicella vaccine given at least 28 days apart, or
    - History of varicella disease (chickenpox) or herpes zoster (shingles) or
    - Laboratory evidence of immunity or conformation of disease.
  - Annual negative tuberculin (TB, TST or QFT) screen and/or negative CXR
    - If history of having TB or a positive TB screen, must show completed treatment or a negative chest X-ray within the past two years.
  - Tdap vaccine/booster within past ten (10) years (tetanus, diphtheria & pertussis).
  - Full Hepatitis B vaccine series and/or immunity to Hepatitis B.
  - Seasonal influenza (flu) vaccination received.



I certify that:

- the aforementioned student satisfies the foregoing requirements,
- the student will continue to satisfy all of the foregoing requirements through the end of his/her clinical rotation at Kaleida Health, and
- UB maintains records documenting compliance with all requirements contained in this letter and will share the records with Kaleida Health within three business days of a request to do so.

I understand and agree that (a) all UB students requiring access to Kaleida Health’s electronic medical records containing protected health information for research purposes must complete an abbreviated credentialing application for Research Associates and (b) Kaleida Health’s Office of Research and Sponsored Projects must give its approval before a UB student will be granted access to any of Kaleida Health’s electronic medical records containing protected health information for research purposes.

Kind Regards,

_____ or	_____ or	_____
<b>David A. Milling, MD</b>	<b>Nicholas J. Silvestri, MD, FAAN</b>	<b>Andrea T. Manyon, MD, FAAFP</b>
Senior Associate Dean for Student and Academic Affairs	Associate Professor of Neurology	Associate Dean for Student Affairs
State University of New York University at Buffalo	State University of New York University at Buffalo	State University of New York University at Buffalo