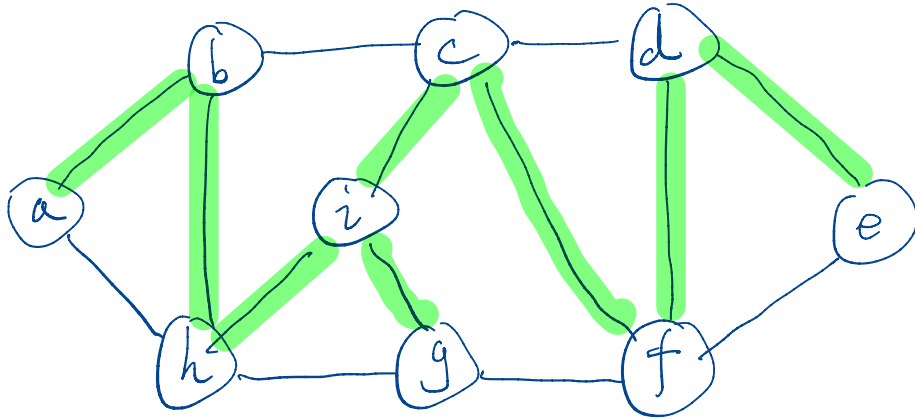


## (V) Minimum Spanning Tree.

Def: Given **connected graph**  $G=(V,E)$ , a spanning tree of  $G$  is a **subgraph**  $T=(V,F)$  that's a **tree** including **all vertices**.

Exmp:



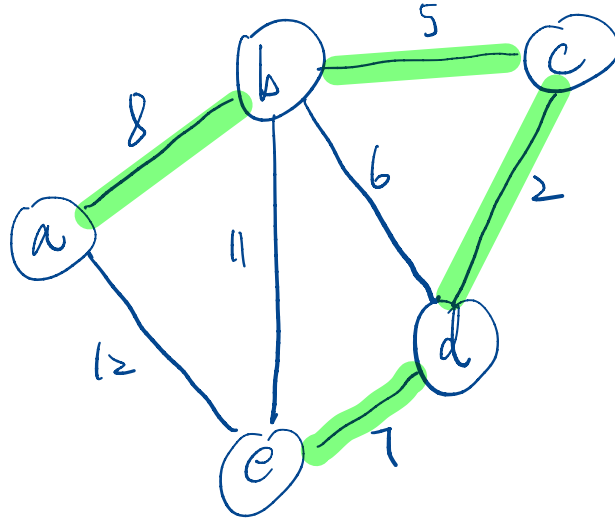
— :  
edge of T.

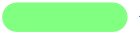
# MST problem

Input: connected graph  $G=(V, E)$ , weights  $w: E \rightarrow \mathbb{R}_{\geq 0}$

Output: a spanning tree  $T$  of  $G$  with the minimum total weight.

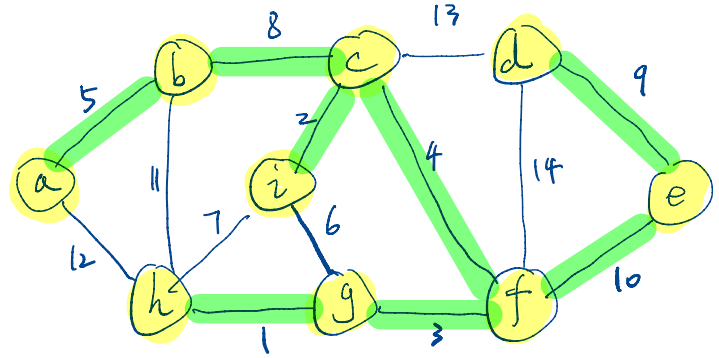
Exmp:



 = edge of the MST.

## ▷ Alg - Prim

- Somewhat to the Dijkstra's Alg.
- Grows the MST vertex by vertex:



$$\textcircled{1} \quad I \leftarrow \{a\}$$

$$F \leftarrow \emptyset$$

$\textcircled{2}$  While  $I \neq V$ :

$u \leftarrow$  the vertex not in  $I$  & nbring  $I$  &  
has the lightest edge incident to  $I$ , say  
this edge is  $(u, x)$  for some  $x \in I$

$$I \leftarrow I \cup \{u\}$$

$$F \leftarrow F \cup \{(u, x)\}$$

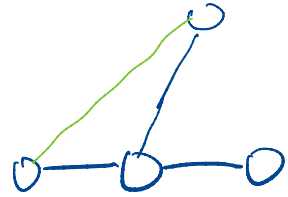
## ▷ Analyze Prim's Alg.

• Recap: property of (spanning) tree

① A tree on  $n$  vertices has  $n-1$  edges.

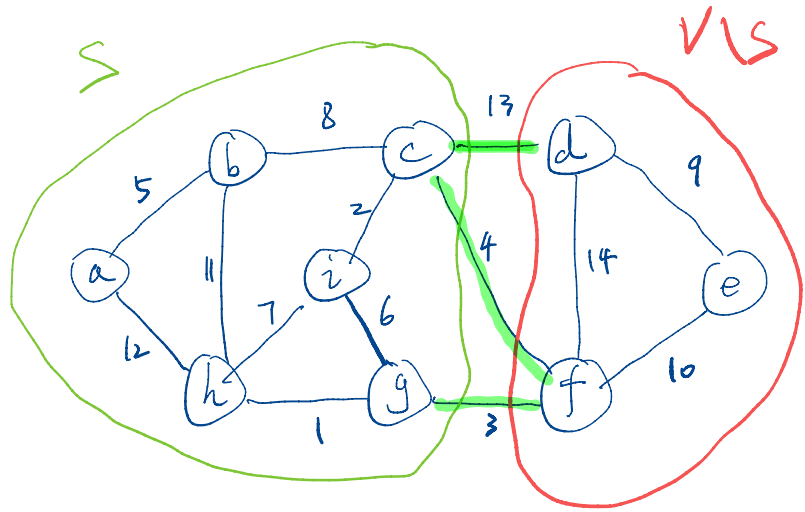
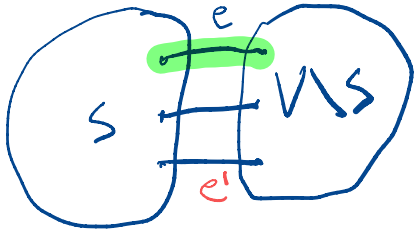
② Adding an arbitrary edge to a tree creates a cycle.

③  $\forall u, v$  in the tree, the path from  $u$  to  $v$  is unique.



• **Lemma** (cut property): In a connected graph  $G=(V, E)$ , suppose all edge weights are distinct, then  $\forall S \subseteq V, S \neq \emptyset$ , consider all edges that cross from  $S$  to  $V \setminus S$  and let  $e$  be the minimum-weight such edge, then any MST must contain  $e$ .

Pf of cut property:



- Suppose there's some MST  $T'$  that doesn't contain  $e$
- $T' \cup \{e\}$  will have a cycle  $C$   
 $\Rightarrow$  Then  $C$  must contain some other edge  $e'$  in the cut.
- $T := T' \cup \{e\} \setminus \{e'\} \Rightarrow T$  is still a spanning tree.  
But  $\text{weight}(T) < \text{weight}(T')$ , contradiction.



▷ Pf of correctness of Prim's Alg:

①  $T \leftarrow \{a\}$   
 $F \leftarrow \emptyset$

② While  $T \neq V$ :

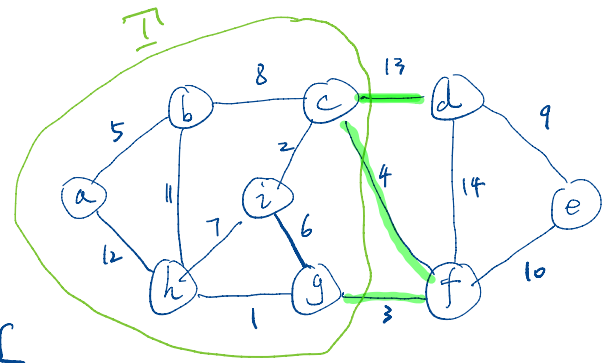
$u \leftarrow$  the vertex not in  $T$  & nbring  $T$  &

has the lightest edge incident to  $T$ , say

this edge is  $(u, x)$  for some  $x \in T$

$T \leftarrow T \cup \{u\}$ .

$F \leftarrow F \cup \{(u, x)\}$



must be in some MST  
by the Cut Property.

□

Alg - Dijkstra:

$\Gamma \leftarrow \emptyset$

$d(s) \leftarrow 0$

$d(v) \leftarrow \infty, \forall v \neq s$

$\pi(s) \leftarrow \text{NULL}$

While  $\Gamma \neq V$ :

$u \leftarrow \underset{v \in V \setminus \Gamma}{\text{argmin}} d(v)$

$\Gamma \leftarrow \Gamma \cup \{u\}$

for all  $v \in V \setminus \Gamma$  s.t.  $(u,v) \in E$ :

If  $d(u) + w(u,v) < d(v)$ :

$d(v) \leftarrow d(u) + w(u,v)$

$\pi(v) \leftarrow u$

return  $d(\cdot), \pi(\cdot)$

Alg - Prim

$O(n \log n + m \log n)$

$\Gamma \leftarrow \emptyset$

Priority  $Q$

$d(s) \leftarrow 0$

$d(v) \leftarrow \infty, \forall v \neq s$

$\pi(s) \leftarrow \text{NULL}$

While  $\Gamma \neq V$ :

$u \leftarrow \underset{v \in V \setminus \Gamma}{\text{argmin}} d(v)$

$\Gamma \leftarrow \Gamma \cup \{u\}$

for all  $v \in V \setminus \Gamma$  s.t.  $(u,v) \in E$ :

If  $w(u,v) < d(v)$ :

$d(v) \leftarrow w(u,v)$

$\pi(v) \leftarrow u$

return  $d(\cdot), \pi(\cdot)$

U.S.