## Exception Request Form: Server Security and Hardening Standards

### Directions:
1. **Employee**: Working with your Node Director/System Administrator, complete and submit this form to your Dean, Vice President, or designee.
2. **Dean, Vice President, or Designee**: Indicate approval in section D. Submit form to the UBIT Information Security Officer, 201 Computing Center (North Campus).
3. **Information Security Officer**: Approve/deny exception request. Communicate approval/denial to Node Director/System Administrator and employee

**Exceptions are valid for one calendar year from date of approval.**

### Section A: Employee Information

<table>
<thead>
<tr>
<th>Employee Name</th>
<th></th>
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</thead>
<tbody>
<tr>
<td>Employee Position/Title/Role</td>
<td></td>
</tr>
<tr>
<td>Employee Signature</td>
<td></td>
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<tr>
<td>School/Unit/Department</td>
<td></td>
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<tr>
<td>Date</td>
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### Section B: Exceptions Requested

By checking the box(es) below, you are requesting an exception to compliance with the standard(s). The form can be for multiple exception requests.

- 2.0 - Server Provisioning
- 3.0 - Server Configuration
- 3.1 - Network Hardening and Access Controls
- 3.2 - Network-based System Administration
- 3.3 - Operating System and Software Stack Hardening
- 3.4 - System Services and Software
- 3.5 - Patching
- 3.6 - Acceptable Encryption Standards
- 3.7 - Encryption Key Management
- 3.8 - Backups and Data Recovery
- 4.0 - Server Security Monitoring
- 4.1 - Malware Protection
- 4.2 - Log Monitoring
- 4.3 - Vulnerability Scanning
- 5.0 - Access Control
- 5.1 - Administrative and User Accounts
- 5.2 - External or Vendor Accounts
- 6.0 - De-provisioning
- 7.1.1 - Appliances/Devices: The network attached peripheral is not in publicly accessible address space, for example the "NAP" or "AV" VLANs.
- 7.1.2 - Appliances/Devices: The network attached peripheral software is updated on a regular basis.
Section C: Rational and/or Compensating Controls

[Insert rational and/or compensating controls here. You may also attach the rational to this form]

Section D: Approval

Dean/Vice President/Designee Name (please print)

Dean/Vice President/Designee Signature       Date

Information Security Officer       Date